

PTO/SB/11 (10-92)

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION	Docket Number GRI-01-013
Applicant or Patentee: <u>Raymond J. GORTE et al.</u> Serial or Patent No.: <u>09/914,638</u> Filed or Issued: _____ Title: <u>METHOD FOR SOLID OXIDE FUEL CELL ANODE PREPARATION</u>	
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below: NAME OF NONPROFIT ORGANIZATION <u>University of Pennsylvania</u> ADDRESS OF NONPROFIT ORGANIZATION <u>3700 Market Street, Suite 300</u> <u>Philadelphia, Pennsylvania 19104-3147</u>	
TYPE OF NONPROFIT ORGANIZATION: <input checked="" type="checkbox"/> UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION <input type="checkbox"/> TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) <input type="checkbox"/> NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA NAME OF STATE _____ CITATION OF STATUTE _____ <input type="checkbox"/> WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA <input type="checkbox"/> WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA NAME OF STATE _____ CITATION OF STATUTE _____	
I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:	
<input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.	
I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(e) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).	
Each person, concern or organization having any rights in the invention is listed below: <input type="checkbox"/> no such person, concern or organization exists. <input checked="" type="checkbox"/> each such person, concern or organization is listed below:	
<u>Gas Research Institute</u> <u>1700 South Mount Prospect Road</u> <u>Des Plaines, Illinois 60018-1804</u>	
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.	
NAME OF PERSON SIGNING <u>Timothy J. Raynor</u> TITLE IN ORGANIZATION OF PERSON SIGNING <u>Director, Intellectual Property</u> ADDRESS OF PERSON SIGNING <u>3700 Market Street, Suite 300, Philadelphia, Pennsylvania 19104-3147</u>	
SIGNATURE <u>Timothy J. Raynor</u>	DATE <u>11/29/01</u>

RECEIVED

COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION

SEND CORRESPONDENCE TO:

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PETITION

Wherefore we Pray that Letters Patent be granted to us for the invention or discovery described and claimed in the specification and claims of U.S. Patent Application Serial No. 09/914,638, and we hereby subscribe our names to the specification and claims of U.S. Patent Application Serial No. 09/914,638, Declaration, Power of Attorney and this Petition.

DECLARATION

The undersigned further declare that all statements made herein of their knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12/5/01
 Date

Raymond Gorte
 Raymond J. GORTE

12/5/01
 Date

John M. VOHS
 John M. VOHS

 Date

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